



# STATEWIDE AND REGIONAL NETWORKS

2023 Grant Guidelines

Deadline: June 6, 2023

The mission of the California Arts Council, a state agency, is to strengthen arts, culture, and creative expression as the tools to cultivate a better California for all.

Learn more at www.arts.ca.gov

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#### STATEWIDE AND REGIONAL NETWORKS

**2023 GRANT GUIDELINES** 

**DEADLINE**: June 6, 2023 11:59 PM Grant Request Amount: Up to \$50,000

**Estimated Total Number of Grant Awards: 42** 

Grant Activity Period: November 1, 2023 - October 31, 2024

Matching Funds: This program requires a 1:1 match of award funds.

Estimated Arrival of Funds: January - February 2024

Apply at: calartscouncil.smartsimple.com

# **Background & Purpose**

The California Arts Council's policies and practices prioritize racial equity and have a broad geographic reach into communities of all sizes and needs, and this includes a commitment to support a network of arts service organizations that provide practical resources for the communities they serve. Statewide and Regional Networks grantees continue to provide timely and adaptive services which respond to the needs of culturally specific and geographically diverse communities.

The **Statewide and Regional Networks (SRN)** program intends to contribute to the health and vitality of the creative workforce in California by creating a resilient network of arts service organizations and artists.

An arts service organization is defined as an organization that furthers the interests of artists, creators, tradition bearers, and arts and cultural organizations. They also provide specialized, practical services for artists, arts organizations, and cultural communities.

# **Program Requirements**

- Arts service organizations must provide practical services for artists, creators, tradition bearers, and/or arts and cultural organizations.
- Constituent representation must be active, ongoing, effective, and relevant statewide or regional reach.
- Programs and/or services must provide engagement and access throughout all counties and/or municipalities identified within the statewide or regional network.
- Any state funds regranted through this program must be in compliance with the CAC's Grant Opportunity statement, included on page 11 of the appendix of this document.

# **Eligibility Requirements**

- Applicants must be an arts service organization with statewide or regional reach.
  - An arts service organization is defined as a nonprofit or fiscally sponsored organization that furthers the interests of artists, creators, and tradition bearers.
     They also provide specialized, practical services for arts organizations and cultural

communities. These organizations preserve, present, and promote specific cultural practices, artistic disciplines, or creative initiatives. An arts service organization may operate a network. For specific information regarding networks, please see definition below.

- Networks are associations or groups of individuals or organizations with common interests, visions or organizational missions that work together to strengthen the collective group.
- Regional reach refers to programs and/or services having significant constituent representation in at least three counties, OR a county with a total population of over 3 million in multiple municipalities including San Diego, Los Angeles or Orange.
- Statewide reach refers to programs and/or services having significant constituent representation throughout California, including Northern, Central, and Southern, coastal, and inland regions.
- First-time applicants to this program are highly encouraged to consult with the managing Arts Program Specialist prior to application submission.
- CAC State-Local Partner grantees are not eligible for Statewide and Regional Networks support in the same fiscal year, with the exception of a State-Local Partner serving as a fiscal sponsor for a distinct network that is not supported through the State-Local Partners program.

Applicants must comply with the requirements below. All applications must include the listed items at the time of submission in order to be considered for funding.

- California-based Documentation of having a principal place of business in California.
- **Arts programming** Applicants must have a minimum two-year history of consistent engagement in arts programming and/or services prior to the application deadline.
- 501(c)(3) organization as applicant or fiscal sponsor Non-governmental (municipal, county, or tribal) applicant organizations must demonstrate proof of nonprofit status under section 501(c)(3) of the Internal Revenue Code, or section 23701d of the California Revenue and Taxation Code.
- Fiscal sponsors An applicant organization without nonprofit status must use a California-based fiscal sponsor with a federal 501(c)(3) designation to apply for funding.
  - The fiscal sponsor will provide the fiscal oversight and administrative services needed to complete the grant.
  - A <u>Letter of Agreement</u> between the fiscal sponsor and the applicant organization <u>must be signed by a representative from both parties</u> and submitted with the application. A blank signature field will not be accepted. If a grant is awarded, the fiscal sponsor becomes the legal contract holder with the California Arts Council.

- A fiscal sponsor change is not permissible during the Grant Activity Period, with rare exceptions.
- Fiscal sponsors must have a minimum two-year history of consistent engagement in arts programming and/or services prior to the application deadline. (Acting as a fiscal sponsor to arts and cultural organizations is considered an arts service.) See additional information on the use of <u>CAC fiscal sponsors</u>.
- Certificate of good standing Nonprofit organizations and fiscal sponsors (if applicable) must have "active status" with the California Secretary of State (SOS) showing evidence of "good standing" at the time of application. You can verify your organization's status by conducting a search using the SOS online <a href="Business Search">Business Search</a> tool. An indication of "active" (versus "suspended," "dissolved," "cancelled," etc.) confirms that your nonprofit corporation exists, is authorized to conduct business in the State of California, has met all licensing and corporation requirements, and has not received a suspension from the Franchise Tax Board.

## **Eligible Request Amounts**

Applicant organizations can request up to \$50,000 for the grant period.

## Statewide and Regional Networks Responsibilities

Statewide and Regional Networks grantees will be expected to:

- Attend CAC convening(s) (if applicable).
- Host virtual and/or in-person meetings for the CAC as needed when sufficient notice has been given.
- Participate, where feasible, in cooperative information gathering and programming when deemed to be mutually beneficial by both the CAC and the grantee.
- Promote CAC grant opportunities, workshops, and other resources and information to constituents in all counties and/or municipalities identified in the network.
- Participate in program evaluation (if applicable).
- Collect and report qualitative and quantitative data on artists, arts organizations and audiences served.

# **Funding Restrictions**

- For organizations with total revenue above \$250,000, the sum of requests for CAC grants during the same year of funding cannot exceed 50% of the total revenue from the most recently completed fiscal year, with the exception of applications for Administering Organization grants.
- State-Local Partners, Cultural Pathways, and Arts and Cultural Organization General Operating Relief applicants are not eligible for Statewide and Regional Networks support in the same fiscal year.

## **Matching Funds**

This program requires a 1:1 match of award funds. If applying for multiple CAC grants in a single fiscal year, distinct matching funds must be identified within each application, if applicable. State funds cannot be used to meet the match. The status of each source must be specified as either projected, pending, or committed.

Matching funds can be met with any combination of in-kind and cash sources.

- **In-kind match** refers to goods or services rather than currency, and for which monetary value can be determined. The use of in-kind contributions to meet the CAC matching funds requirement acknowledges that some organizations may not have the financial capability to provide a 1:1 cash match but can demonstrate the capacity to carry out the activities in the grant application through other sources.
- Cash match sources include corporate or private contributions; municipal, county, or federal government funding; or earned income.

If utilizing the value of in-kind support to meet the match, keep in the mind the following:

- In-kind contributions may only be provided by third parties.
- A third party is defined as a service provider, partner, or supplier that is independent of the applicant organization and the CAC and is not compensated through grant funds.
- In-kind contributions may be in the form of space; pro bono consultancy, training, or services; supplies; and other expendable property that are given free of charge to the applicant.
- In-kind contributions by state entities are ineligible.
- In-kind contributions must be reflected as an expense in the grant application budget.

Click <u>here</u> for additional information on CAC in-kind contributions. If you have questions about in-kind contribution documentation or eligibility, please contact the designated staff prior to beginning your application (see Staff Assistance).

# **Online Application Portal**

Applications will be available online through the CAC's online grants management system at <u>calartscouncil.smartsimple.com</u>. Only applications submitted through the system by the deadline will be accepted. It is recommended that new applicants create an online profile well in advance of the application deadline. More information can be found on the <u>Grant Resources</u> page of the CAC website.

# **Application Review Criteria**

A review panel will adjudicate complete and eligible applications based on the following criteria. Application questions and required documents pertaining to each review criterion are included below. Detailed instructions are available at calartscouncil.smartsimple.com.

**Centering Equity for an Inclusive Arts Landscape:** In this section, organizations will demonstrate understanding, capacity, and ongoing commitment to building equity across the

arts through inclusive policies, practices, projects, partnerships, and leadership. Organizations will also demonstrate how they serve and prioritize communities with the highest levels of need as indicated by the California Healthy Places Index (HPI).

#### Application Questions and Required Documents

- 1. What has inspired your organization to incorporate or evolve policies/programming that uses the arts as a tool to transform and/or express culture?
- 2. Describe the approaches your organization has taken or will take to center, uplift, and involve historically under-served communities that fall within the lowest quartile of the <u>California Healthy Places Index</u> (HPI) in your region.
  - a. The term "under-served communities" refers to populations disproportionately impacted by systemic exclusion in policy settings or historical decision-making processes.
  - b. Neighborhood by neighborhood, the HPI maps data on social conditions that drive health like education, job opportunities, clean air and water. This data is used by community leaders, policymakers, academics, and other stakeholders to compare the health and well-being of communities, identify health inequities, and quantify the factors that shape health.
- 3. How does your organization represent and create equitable opportunities for historically excluded artists, cultural practitioners, or arts and cultural practices?
- 4. Describe the actions you have taken to build trust and ongoing relationships with the identified communities, artists, and cultural practitioners.
- 5. Address how you:
  - a. Plan to evaluate success for the proposal and what success will look like.
  - b. Will listen and respond to the diverse needs of the communities and artists/cultural practitioners throughout the project.

**Management and Leadership:** Demonstrates ability to fulfill programs and services identified in proposal in a sustainable manner. Organization provides evidence of strategies to strengthen organizational capacity, ensure appropriate leadership and compensation for staff, employ effective governance policies, and maintain a structure that is representative of the network. Organization provides evidence of strong fiscal and managerial health, including diversity of revenue sources. When applicable, fiscal sponsor demonstrates effective fiscal management and sound relationship with the applicant organization.

## Application Questions and Required Documents

- Complete a two-year budget snapshot table. Provide revenue and expense amounts.
   Address any significant changes in line items from one year to the next and explain
   anticipated surpluses or deficits.
- 2. Upload a matching funds table. Indicate the source type, identify the source, enter the amount, and indicate the status (Committed, Pending, or Projected).
- 3. Provide evidence of the following: strategies to strengthen organizational capacity, ensure appropriate leadership and compensation for staff, employ effective governance policies, and maintain a structure that is representative of the network.

- 4. Provide the executive summary for your organization's current strategic plan. If a current strategic plan is not in place, use up to two (2) pages to describe any existing policies and/or procedures, and timeline for developing a strategic plan.
- 5. Provide a brief biography for each key individual involved in administrative, artistic, or programmatic leadership positions within your organization. Include name, title, relevant experience, and role.
- 6. Provide a current list of Board of Directors, Commissioners, Committee, or other appropriate members of your governing body.
- 7. Letters of Support: Please provide up to two (2) signed letters from key stakeholders, partners, or collaborators. Letters should substantiate the quality of the organization, its programs and services, and affirm the organization's impact on its constituents.

**Statewide or Regional Services:** Contributes to the health and vitality of an identified network of artists, creators, tradition bearers, and/or arts and cultural organizations. Programs and/or services provide engagement and access throughout all counties and/or municipalities within the statewide or regional network.

#### Application Questions and Required Documents

- 1. Clearly identify the specific network of artists, creators, tradition bearers, and/or arts and cultural organizations directly served through practical services.
- 2. Select all activities and services that your organization provides to the identified network.
  - Art skills
  - Arts advocacy
  - Career services
  - Communications
  - Marketing services
  - Mentorships
  - Networking events
  - Professional development opportunities
  - Re-granting
  - Other
- 3. Describe how your organization contributes to the health and vitality of the identified network through the selected activities and services. Provide details on the activities and services selected above, as well as any other activities and services not listed.
- 4. Describe any organizational efforts to preserve, promote, and protect specific ethnic, cultural, and/or artistic disciplines.
- 5. Describe how programs and/or services provide engagement and access throughout all counties and/or municipalities within the statewide or regional network. If statewide, please describe your impact and relevance in Northern, Southern, and Central regions of

California. If regional, please describe your impact and relevance in counties and municipalities served.

- Include in this description how constituents access the organization's services and activities. Include outreach methods, criteria for joining (if applicable), and any cost associated with participation.
- 6. Provide at least one (1) but no more than three (3) samples that best portray your organization and its work. These may include artistic work, press materials, flyers, brochures, programs, newsletters, and other marketing pieces. Samples should have been created within the past three years and be relevant to this grant opportunity.

Accessibility and Accommodations: Demonstrates that its programs, services, information, and facilities where funded activities are to take place, including online spaces, will be accessible for individuals with disabilities, including but not limited to individuals who are Deaf, Hard of Hearing, Deaf-Blind, have difficulty speaking, have a physical disability, visual disability, developmental disability, learning disability, mental illness, or chronic illness.

## Application Questions and Required Documents

- 1. Describe your organization's approach- including allocation of financial resources to ensuring the accessibility of programs and services and inclusion of people with disabilities. Describe both physical and communication accessibility, including in-person and online activities. Describe how your organization offers people with disabilities a way to request an accommodation to access programs and services. Consider organizational personnel and any partnering organizations, as well as the participants and beneficiaries of arts programming and services, including creatives with disabilities and potential audience members, in your response.
- 2. Identify the primary individual who will be responsible for managing accessibility for your organization. This may be a program manager, accessibility coordinator, or other staff member of the applicant organization. Include their name, title, phone number, and email address in the fields provided.

# **Panel Adjudication and Ranking Scale**

Panelists review and rank applications and work samples using a 6-point ranking scale that can be viewed at this link.

# **California Arts Council Decision-making**

The final authority for grant awards is the appointed Council. After receiving and reviewing recommendations from Council committees, the Council will vote on final funding awards at a public meeting. Awards may differ from requested amounts based on panel rank, available funding, and passage of the state budget.

# **Program Timeline**

Application Opens	April 25, 2023
Application Deadline	June 6, 2023
Panel Review	Approx. June - July 2023
Funding Decision	Approx. August 2023
Funding Notification	Approx. August 2023
Grant Activity Period	November 1, 2023 – October 31, 2024
Estimated Arrival of Funds	January – February 2024
Final Report Deadline	November 30, 2024

#### Staff Assistance

Before contacting staff, check <u>FAQs</u> to see if application questions can be answered. If staff assistance is still required for guidance or clarification, email is the best way to contact Program Specialists. We recommend that you contact staff well in advance of the deadline to ensure you can be accommodated. People who are Deaf, Hard of Hearing, Deaf-Blind, or have difficulty speaking may dial 711 to reach the California Relay Service (CRS). Large print is available upon request. Translation services may also be available upon request.

Organizations seeking technical assistance should contact:

Leslie Giovanini she/her/hers Arts Program Specialist California Arts Council statewidenetworksgrant@arts.ca.gov

#### **Governor of California**

Gavin Newsom





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Office Hours 8:00 a.m. - 5:00 p.m., Monday through Friday **Purpose:** The California Arts Council (CAC), a state agency, was established in January 1976 to encourage artistic awareness, participation, and expression; to help independent local groups develop their own arts programs; to promote employment of artists and those skilled in crafts in the public and private sector; and to enlist the aid of all state agencies in the task of ensuring the fullest expression of our artistic potential.

**The Council:** The appointed Council of the CAC consists of 11 members. The Governor appoints nine members, the assembly Speaker appoints one member, and the Senate President pro Tempore appoints one member. Council members serve without salary, elect their own officers, and meet throughout the state to encourage public attendance. This body sets policy and has final approval of CAC grants.

**Native Land Acknowledgement:** The California Arts Council stands in solidarity with all of California's Indigenous people. We acknowledge that our work takes place on the now occupied traditional lands of the Miwok, Maidu, and Nisenan people, who are the past, present, and future stewards of this place. We make this first step in our journey to develop relationships and cultural competencies to truly support native sovereignty.

**Mission:** Strengthening arts, culture, and creative expression as the tools to cultivate a better California for all.

**Vision:** A California where all people flourish with universal access to and participation in the arts.

**Grant Opportunity:** In alignment with our mission and vison, the CAC does not discriminate against, or grant preferential treatment to, any individual or group on the basis of race, sex, color, ethnicity, or national origin in its internal operations or in its stewardship of public funds.

**Racial Equity Statement:** As California's state arts agency, the California Arts Council is committed to racial equity.

- We are committed to ensuring that every policy enacted reflects democratic principles of equity and justice.
- We understand that enacting policy in a just and equitable manner considers critical issues of implicit bias and discrimination that requires concerted and purposeful action.
- We believe that bringing together Council, staff and other partners with differing backgrounds and life experiences will enhance our ability to increase opportunities for all arts service organizations to succeed.
- Policies, programs, and activities will be administered to identify and avoid discrimination and barriers to access, and to avoid disproportionately high and adverse effects on communities of color.
- Accountability to our grantees is of central importance to us. We understand the significance of evaluating the impact of our policymaking on grantees over time and utilizing this evaluation in the development of new policy initiatives.
- We are committed to the just and equitable disbursement of resources.
- We will obtain the following information when relevant and appropriate in order to utilize data to evaluate the impact of our equity goals: population served and/or affected by race, color, national origin, and income level, which will include diverse communities

across the state such as: communities of color, racially and ethnically diverse individuals, tribal communities, immigrant and refugee communities, and communities that have principal languages other than English.

For the CAC, racial equity is a continual practice in listening, learning, and implementing. The CAC's Racial Equity Statement demonstrates a deeper commitment for us to do better for the field and our staff.

**Funding:** The CAC is a state agency, funded from the state's annual budget process and proceeds from the California Arts License Plate and the Keep Arts in Schools tax return voluntary contribution fund, supplemented by funds from the National Endowment for the Arts. Its grants are often matched by foundations, individuals, earned income, government agencies, in-kind contributions, or other organizations.

**Information Access:** Due to the Public Records and Open Meeting Acts, applications and their attachments are not confidential and may be requested by the media and/or public. Meeting dates and locations are posted at <a href="www.arts.ca.gov">www.arts.ca.gov</a>. Observers may attend but may not participate in, or in any way interfere with, Council meetings. Each meeting provides a designated time for public comment, although comments may be time-limited.

**Grant Process:** Applications are evaluated by panels of recognized field representatives who rank applications according to program criteria. The CAC staff provides information but not recommendations to the panel. The Council reviews panel recommendations before making final funding decisions. CAC staff is responsible for grant contract administration after Council approval. In dire or unexpected circumstances, the CAC reserves the right to make exceptions to any policy or procedure on a case-by-case basis.

Requirements: The CAC provides grant funding to individual artists and is mandated both by federal and state regulations to fund arts organizations that have proof of nonprofit status under sec. 501(c)(3) of the Internal Revenue Code (Fiscal Receivers are eligible in some programs), or under sec. 23701d of the California Revenue and Taxations Code, or entities that are a unit of government; and that comply with the Civil Rights Acts of 1964, as amended; sec. 504 of the Rehabilitation Act of 1973, as amended; the Age Discrimination Act of 1975; the Drug-Free Workplace Act of 1988; California Government Code secs. 11135-11139.5 (barring discrimination); the Fair Labor Standards Act, as defined by the Secretary of Labor in part 505 of title 29 of the Code of Federal Regulation; the Americans with Disabilities Act of 1990 ("ADA"); the Fair Employment and Housing Act; and the Personal Responsibility and Work Opportunity Reconciliation Act of 1996.

**Ownership, Copyrights, Royalties, Credit:** The CAC does not claim ownership, copyrights, royalties, or other claim to artwork produced as a result of a CAC grant. However, the CAC reserves the right to reproduce and use such material for official, noncommercial purpose, including but not limited to use on the CAC website, social media, and print materials. In addition, the CAC requires documentation of grants activity and appropriate credit for CAC partial support.

# **Appendix A: Resources for Applicants**

#### **Grantee Requirements**

Awarded grantees must comply with all requirements as stipulated in the grant agreement, including but not limited to the following:

- Complete contract documents Upon notification of grant award, complete all required contract documents in order to receive grant payment. Contract documents must be received by the CAC within 60 days of issuance or the grant funding may be revoked.
- Payee Data Record Each awarded organization or fiscal sponsor (if applicable) must complete, sign, and submit an STD 204 Payee Data Record as a required contract document before grant funds can be released.
- **Consistent activities** Carry out activities consistent with the original proposal summary statement and the intent of the application as approved for funding, including in instances where the grant award may be less that the original request amount.
- Approval for changes Programming activities must be completed as proposed.
   Changes must be proposed in advance and require prior written approval from CAC staff.
   Requests for changes are considered on a case-by-case basis.
- Thank you letters To better inform our elected representatives of the value of the arts
  and the use of state funds, grantees are required to include copies of signed letters sent
  to the Governor, state Senate, and Assembly representatives thanking them for the grant.
  Local representatives may be found at this link: <a href="https://findyourrep.legislature.ca.gov/">https://findyourrep.legislature.ca.gov/</a>.
- **Use of CAC logo** Use of the CAC logo is required on <u>all</u> printed and electronic materials and websites (programs, catalogs, postcards, posters, newsletters, leaflets, publications, etc.) that specifically reference this grant.
- CAC acknowledgement The following acknowledgement of CAC funding is required on <u>all</u> printed and electronic materials: "This activity is funded in part by the California Arts Council, a state agency."
- Final report Provide a final report summarizing grant-funded activities and
  accomplishments including quantitative and qualitative data collected from supported
  networks within 30 days of the end of the grant activity period. Grantees that do not
  submit reports by the posted deadlines may jeopardize their organization's opportunity for
  future funding from the CAC.
- California Model Agreement (AB20) and indirect costs In order to comply with AB20 requirements, University of California and California State University grantees are required to secure an indirect cost waiver from the Regents of the University of California or the Board of Trustees of the California State University.

#### What We Do Not Fund

Click <u>here</u> to review the list of activities and expenses that CAC does not fund.

## • In-kind Matching Funds

Click <u>here</u> for additional information on CAC in-kind contributions.

#### Sample Fiscal Sponsor Letter of Agreement

Click <u>here</u> for a letter template for applicant organizations using fiscal sponsors.

#### Definition of Signature

Click <u>here</u> for information on acceptable forms of validation for required signed documentation.

#### Appeals Process

- 1. Before requesting an appeal, check that your request qualifies by reading the following. Appeals are only granted if:
  - Panel's assessment was based on a misstatement of information in the application that negatively influenced the panel's recommendation; and/or
  - b. Incorrect processing of the required application material, which negatively influenced the panel's recommendation.

#### Dissatisfaction with award denial or award amount does not qualify for appeal.

- 2. Request an official Appeal Form by emailing the CAC Program Specialist listed on your grant notification letter.
- 3. Fill out official Appeal Form and email or postmark to the contact listed on the form within 45 days of grant notification.