

EXHIBIT D – SPECIAL TERMS AND CONDITIONS

FY16-17 Research in the Arts

A. CALIFORNIA ARTS COUNCIL (CAC) PROVISIONS

1. STATEMENT OF THE GRANT

This *Grant Standard Agreement (Grant)* is awarded with the understanding that the full Grant amount will be expended to support the project/activity as proposed by the Grantee in the application, and summarized in the *Grant Description and Budget (Exhibit A – Scope of Work & Exhibit B – Budget Detail and Payment Provisions)*. While minor changes in the project/activity are expected, if the Grantee wishes to modify the fundamental intentions of the project/activity, prior written approval of the CAC is required.

a. Matching Requirements

All grant recipients must provide a dollar-for-dollar (1:1) match. The cash match may be from corporate or private contributions, local or federal government, or earned income. State funds cannot be used as a match. A combination of cash and in-kind contributions may be used to match the CAC Award, with a maximum of 50% in-kind contributions permitted, with the approval of CAC Program Manager. (See Section A.4.)

b. Travel

If travel expenses are included in the approved budget, the State rules governing such expenses shall apply to the Grantee. All travel must be within the State of California. Refer to [Travel Policies](#), also available on the California Arts Council (CAC) website, Grantee Forms page at www.arts.ca.gov/programs/forms.php.

c. Printing

In order to comply with the provisions of Government Code Section 19130, Grantee must seek prior approval from the CAC when a single printing project exceeds \$5,000. Contact the CAC Contract Officer at (916) 322-6337, or email contracts@arts.ca.gov, prior to entering into any contract for printing services if it exceeds \$5,000 to ensure compliance with this Grant provision.

2. GRANT PERIOD

CAC funds for this grant may not be used to support activities, programs or services outside of the following Grant Period (Grant Term of Agreement): **June 30, 2017 – June 30, 2019.**

3. CAC GRANT RETURN DATE

Three copies of the *Grant Standard Agreement* shall be signed by an individual with authority to legally bind the organization and returned to the CAC Program Manager by **August 11, 2017.** (See Section A.6.)

4. PROGRAM MANAGERS

The designated CAC Program Manager shall be the primary contact person during the execution of this Grant with responsibility for facilitating communications and efficient interaction between the CAC and the Grantee. The grantee shall also be responsible for designating appropriate staff contact for this grant.

Should a change of the CAC's Program Manager or the Grantee's contact information become necessary, the other party shall be notified, in writing, 15 days prior to the change.

The CAC Program Manager for this grant is:

Josy Miller, PhD
(916) 322-6385
josy.miller@art.ca.gov

5. ACKNOWLEDGEMENT OF RECEIPT OF GRANT

Grantee is required to prepare and mail thank you letters to the Governor of the State of California and to state legislators. A sample thank you letter is available on the CAC website at www.arts.ca.gov/programs/forms.php. Grantee shall return one photocopy of each *Governor and Legislator Thank You Letter* to the CAC with the Advance Payment request. (See Section A.6.)

6. COSTS AND PAYMENT

a. Advance Payment

Grantee is required to sign and return three copies of the *Grant Standard Agreement with the Grant Description and Budget (Exhibit A – Scope of Work & Exhibit B – Budget Detail and Payment Provisions)*, one copy of each *Governor and Legislator Thank You Letter*, a *State of California Payee Data Record* form, and *Invoice Form* for **50% of grant award** with original signature showing Advance Payment request.

Mail all grant package materials identified above, postmarked by the deadline of **August 11, 2017** to the Program Manager at the address below:

Josy Miller, PhD
California Arts Council
1300 I Street, Suite 930
Sacramento, CA 95814

b. Program Reporting and Remaining Payment

The second **40%** of the total grant award will be held pending completion of first-year grant activities and receipt of the following materials:

(1) Interim Report – electronic submission. This document should only reflect activities completed as part of the Scope of Work for this Grant.

(2) Invoice Form – to be completed with original signature showing 40% Payment request.

(3) State of California Payee Data Record – to be completed if your organization has had a change of address since the most recent payment request, or there is a change in your organization’s authorized signatory).

Electronically submit the **Interim Report**, and mail the **Invoice Form** and **Payee Data Record** (if necessary) no later than 30 days after the mid-way point for this grant, or **no later than July 31, 2018** to the Program Manager indicated above.

The final **10%** of the total grant award will be held pending receipt of the following materials, due after the completion of the Scope of Work indicated in the Grant Standard Agreement and **no later than 30 days after the end of the Grant Period:**

(1) Final Report with NEA Grants Activity Survey – online submission. This document should only reflect activities completed as part of the Scope of Work for this Grant.

(2) Final Invoice Form – to be completed with original signature showing 10% Final Payment request.

(3) State of California Payee Data Record – to be completed if your organization has had a change of address since the most recent payment request, or there is a change in your organization’s authorized signatory.

Mail the Final Invoice and Payee Data Record (if necessary) no later than 30 days after the end of the Grant Period to the Program Manager indicated above.

The above materials are available on the CAC website, Grantee Forms page at www.arts.ca.gov/programs/forms.php.

7. COST RECORDS

Grantee shall maintain complete, accurate, and current records of all income, including obligations incurred with respect thereto. Such records, or copies of such records, shall be kept separate from other cost records. During the duration of the activity and for not less than three years after completion or termination of the activity, Grantee shall make available for examination or audit any books, documents, papers, or records pertaining to the activity. Upon request by the CAC, the Grantee shall furnish at its own expense legible copies of materials deemed pertinent, within 10 working days from receipt of the request.

8. MATERIALS DEVELOPED UNDER TERMS OF THE GRANT

a. Ownership

CAC does not claim ownership, copyrights, royalties, or other claims to artwork produced as a result of a CAC Grant. However, the CAC reserves the right to reproduce and use such materials for official, noncommercial purposes.

b. Documentation

Documentation of artwork created and/or activities supported by this Grant is required. Professional quality digital photography is encouraged where feasible, and high-resolution images provided in JPEG or TIFF formats are preferred. For questions regarding photo documentation formats please contact your CAC Program Manager.

9. CAC ACKNOWLEDGEMENT

a. Logos

In order to further the CAC's effort to create recognition for public arts funding in California, the CAC requires that the Grantee display the CAC logos on all printed and electronic matter (websites, programs, catalogs, postcards, posters, newsletters, leaflets, publications, etc.).

The Grantee shall display the logos at a size and dimension that assures their visibility and the viewers' comprehension of them. If displaying the CAC logo in electronic materials, embed the following link with the corresponding images:

www.arts.ca.gov



All logos, as well as the *Logo Usage Guidelines for Grantees*, can be downloaded from the CAC website at www.arts.ca.gov/programs/logos.php. For additional assistance, contact the CAC Graphic Designer Wendy Moran at wendy.moran@arts.ca.gov.

b. Additional CAC Graphics

The CAC also encourages Grantees to use the California Arts License Plate logo and the Keep Arts in Schools logo on all relevant printed and electronic materials. This will assist the CAC in increasing public awareness of these programs and their support for arts education and local arts programming. If displaying these logos in electronic materials, embed the following links with the corresponding images:

Arts License Plate: www.artsplate.org



Keep Arts in Schools Fund: www.arts.ca.gov/getinvolved/kais.php



c. Acknowledgement Language

Grantee is to acknowledge the receipt of CAC funding by using the following phrase in all materials produced as a result of receiving this award, and in any interviews with the media or during events when appropriate: **“This activity is supported in part by the California Arts Council, a state agency. Learn more at www.arts.ca.gov.”**

d. Disclaimer Language

If published, recorded, or visual material (such as in a magazine, film, video, book or brochure) espouses an editorial viewpoint, Grantee must specify in the material that **“Any findings, opinions, or conclusions contained herein are not necessarily those of the California Arts Council.”**

10. SUBSCRIPTIONS

All Grantees will be subscribed to the *California ArtBeat* newsletter at the email address provided to CAC staff or as noted in the original application. All members of the staff and board of directors, where applicable, are required to self-subscribe. In addition, it is the Grantee’s responsibility to advertise to its arts organization constituents how to subscribe to the *California ArtBeat*.

Instructions: Visit www.arts.ca.gov/news/artbeat.php and provide your Email Address, First Name, and Zip Code to subscribe.

11. RESOLUTION OF DISPUTES

If Grantee disputes any action by the CAC arising under or out of the execution of this Grant, Grantee shall notify the CAC of the dispute in writing and request a claims decision. The CAC shall issue a decision within 30 days of the Grantee's notice. If the Grantee disagrees with the CAC's decision, the Grantee shall submit a formal claim to the Director of the CAC or to the Director's designee.

The decision of the Director or the Director's designee shall be final and conclusive. The decision may encompass facts, interpretations of the agreement, and determinations or applications of law. The decision shall be in writing following an opportunity for the Grantee to present oral or documentary evidence and arguments in support of the claim.

12. FUNDING CONTINGENCY

This Grant is valid and enforceable only if the State's Budget Act of the appropriate fiscal year makes sufficient funds available to the CAC for the purposes of this program. Additionally, this Grant is subject to any additional restrictions, limitations, conditions, and/or cancellation enacted by the Legislature, which may affect the provisions, terms, or funding of this Grant. The State has the authority to terminate any or all Grants.

13. GRANTEE NAME CHANGE

An amendment is required to change the Grantee's name as listed on this Grant Agreement. Upon receipt of legal documentation of the name change the State will process the amendment. Payment of invoices presented with a new name cannot be paid prior to approval of said amendment.

14. TERMINATION

It is mutually agreed that either party may cancel this Grant by giving 30 calendar days advance written notice. Within 30 days of termination by either party, Grantee agrees to furnish the CAC with an itemized accounting of funds expended, obligated, and remaining under this Grant.

Failure to comply with the terms of this Grant may lead to the cancellation of this Grant.

B. OTHER STATE OF CALIFORNIA PROVISIONS

The Grantee is responsible for complying with all applicable local, State, and/or Federal laws associated with this Grant.

C. OTHER PROVISIONS

FAILURE TO COMPLY WITH THE TERMS AND CONDITIONS OF THIS GRANT STANDARD AGREEMENT MAY DISALLOW THE GRANT RECEIPT FROM FUTURE FUNDING CONSIDERATION.